

Construction Management Oversight Follow-Up Audit

August 2017

Lori Brooks, City Auditor
Susan Edwards, Assistant City Auditor



August 24, 2017

Honorable Mayor and Members of the City Council:

The City Auditor's Office has completed the Construction Management Oversight Follow-Up Audit. The audit objective was to determine the implementation status of the prior audit recommendation.

Audit follow-up procedures indicate management has implemented the prior audit recommendation.

We would like to thank the Public Works & Transportation and Water Utilities Department staff for their assistance and cooperation.

Lori Brooks

Lori Brooks, CPA, CIA, CGAP, CRMA
City Auditor

c: Trey Yelverton, City Manager
Theron Bowman, Deputy City Manager
Jim Parajon, Deputy City Manager
Gilbert Perales, Deputy City Manager
Mindy Carmichael, Director of Public Works and Transportation
Walter Pishkur, Director of Water Utilities

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Background

The City Auditor's Office conducted a follow-up audit of the Construction Management Oversight Audit completed in June 2016. We conducted this performance audit in accordance with generally accepted government auditing standards. Those standards require that we plan and perform the audit to obtain sufficient, appropriate, evidence to provide a reasonable basis for our findings and conclusions based on our audit objectives. We believe that the evidence obtained provides a reasonable basis for our findings and conclusions based on our audit objective. The audit objective was to determine the implementation status of the prior audit recommendation.

Management concurred with the audit recommendation in the initial audit report. Audit follow-up procedures indicate management has implemented the recommendation.

Audit Scope and Methodology

The scope of the project was limited to following up on management's implementation of the previous audit recommendation. Our methodology included reviewing relevant supporting documentation provided by management.

The matrix on the following page illustrates the results of the follow up procedures.

Status of Prior Audit Recommendation

AUDIT RECOMMENDATION	CONCUR/ DO NOT CONCUR	MANAGEMENT RESPONSE	RESPONSIBLE PARTY	DUE DATE	CURRENT IMPLEMENTATION STATUS
<p>1. The City Auditor’s Office recommends that the Directors of Public Works & Transportation and Water Utilities establish a system for ensuring citizen complaints/concerns related to City construction projects are documented consistently and sufficiently</p>	<p>Concur</p>	<p>Public Works and Transportation and Water Utilities will implement a complaint tracking system for capital projects. This tracking system will be used to improve our service to citizens.</p>	<p>Public Works and Transportation Assistant Directors for Engineering and for Stormwater Water Utilities Assistant Director</p>	<p>9/1/2016</p>	<p>Implemented. Management has created a form in e-Builder called Communication Tracker, to record citizen’s concerns about construction projects.</p>