City Auditor's Office
Crime Statistics Audit Follow-Up

March 2021

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ARLINGTON
THE AMERICAN DREAM CITY

March 25, 2021

Honorable Mayor and Members of the City Council:

The City Auditor's Office has completed a follow up to the Crime Statistics Audit completed in November 2020. The objective of the follow up project was to determine the implementation status of the audit recommendations presented in the original audit report.

Management concurred with the recommendations in the original report. Follow-up procedures indicate management has implemented the audit recommendations.

We would like to thank staff from the Arlington Police Department for their assistance.

Lori Brooks Jaquess

Lori Brooks Jaquess, CPA, CIA, CGAP, CRMA
City Auditor

cc: Trey Yelverton, City Manager
    Jim Parajon, Deputy City Manager
    Gilbert Perales, Deputy City Manager
    Jennifer Wichmann, Deputy City Manager
    Al Jones, Chief of Police
    Mary Supino, Chief Administrative Officer, Police

Crime Statistics Audit
Follow-Up

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Background

The City Auditor's Office completed the Crime Statistics Audit in November 2020. The purpose of the audit was to review and provide assurance related to the classification and validity of reported crime statistics for the City of Arlington.   The objective of this follow up project was to determine the implementation status of the audit recommendations included in the original report.

Audit Scope and Methodology

The scope of the project was limited to following up on management's implementation of the previous audit recommendations. Our methodology included obtaining an updated status report from management regarding the implementation of the audit recommendations and reviewing relevant supporting information or documentation.

The matrix on the following page illustrates the results of the follow up procedures.

Crime Statistics Audit
Status of Prior Audit Recommendations

PRIOR AUDIT RECOMMENDATION	CONCUR/ DO NOT CONCUR	PRIOR MANAGEMENT RESPONSE	RESPONSIBLE PARTY	DUE DATE	CURRENT MANAGEMENT RESPONSE/ IMPLEMENTATION STATUS	AUDIT CONCLUSION
1. *The City Auditor's Office recommends that the Arlington Police Department assure that when charges are dropped, the offenses are not included in the report to Texas DPS.*	CONCUR	APD does not submit dropped charges to Texas DPS if the charges are dropped within the initial reporting period. If charges are later dropped during a follow-up investigation and Records Services is notified of the change, or the case is marked as unfounded, a prior-month adjustment process will be executed to remove the charges with DPS.	APD Record Services & Investigating Officer	ONGOING	The process is on-going as new cases are added and subsequently evaluated. Permanent solutions are being discussed to reduce human errors.	**Implemented**
2. *The City Auditor's Office recommends that the Arlington Police Department correct the information that was submitted to the Texas DPS to remove the four offenses that should not have been submitted.*	CONCUR	The corrections have been made and Record Services will re-submit the cases with the prior month adjustments.	APD Record Services	11/10/2020	Completed on 10/22/2020 by APD Record Services.	**Implemented**

PRIOR AUDIT RECOMMENDATION	CONCUR/ DO NOT CONCUR	PRIOR MANAGEMENT RESPONSE	RESPONSIBLE PARTY	DUE DATE	CURRENT MANAGEMENT RESPONSE/ IMPLEMENTATION STATUS	AUDIT CONCLUSION
3. *The City Auditor's Office recommends that the Arlington Police Department implement additional training on how to properly classify the offenses based on the NIBRS manual.*	CONCUR	APD provides extensive initial classroom and recurring in-service training to all officers on the selection of statutes. Most statutes correspond with one NIBRS code while a small number have multiple codes. NIBRS training for officers are completed through field training by Patrol Training Officers for a period up to one year after the recruit graduates from the Academy. Record Services' NIBRS Team routinely interfaces with officers to adjust potential NIBRS misclassifications. Current Officer Training: • Recruit Training (165 hours):	APD Training Center, Patrol Training Officers, and Record Services	ONGOING	The process is ongoing and APD Records Services has increased their surveillance of subclassifications to assist the officers.	**Implemented**

PRIOR AUDIT RECOMMENDATION	CONCUR/ DO NOT CONCUR	PRIOR MANAGEMENT RESPONSE	RESPONSIBLE PARTY	DUE DATE	CURRENT MANAGEMENT RESPONSE/ IMPLEMENTATION STATUS	AUDIT CONCLUSION
		- Penal Code – 50 - Code of Criminal Procedures – 20 - Health and Safety Code – 12 - Alcoholic Beverage Code – 4 - Transportation Code – 56 - Family Code – 23 - In-service Training (4 hours): Every two years				

PRIOR AUDIT RECOMMENDATION	CONCUR/ DO NOT CONCUR	PRIOR MANAGEMENT RESPONSE	RESPONSIBLE PARTY	DUE DATE	CURRENT MANAGEMENT RESPONSE/ IMPLEMENTATION STATUS	AUDIT CONCLUSION
4. *The City Auditor's Office recommends that the Arlington Police Department review the seven cases and evaluate whether they are classified appropriately. For any cases that are determined to be classified incorrectly, the corrections should be submitted to the Texas DPS.*	CONCUR	The corrections have been made and Record Services will re-submit the cases with the prior month adjustments.	APD Record Services	11/10/2020	Completed on 10/23/2020 by APD Record Services.	**Implemented**